

Late-Summer Examination period 2017

**MTH6127**  
**Metric Spaces and Topology**

**Duration: 2 hours**

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**You should attempt ALL questions. Marks available are shown next to the questions.**

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**Examiners: M. Farber**

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In this examination the symbol  $\mathbb{R}$  denotes the sets of real numbers.

**Question 1. [4 marks]**

- (a) Give the definition of a metric space  $(X, d)$ . [2]

[Bookwork] A metric space is a pair  $(X, d)$  where  $X$  is a set and  $d : X \times X \rightarrow \mathbb{R}$  is a function satisfying:

M1: For  $x, y \in X$  one has  $d(x, y) \geq 0$  and  $d(x, y) = 0$  iff  $x = y$ .

M2:  $d(x, y) = d(y, x)$ .

M3:  $d(x, y) \leq d(x, z) + d(z, y)$  for any  $x, y, z \in X$ .

- (b) Explain what it means for a subset  $U \subseteq X$  in a metric space to be *open*. [2]

[Bookwork] A subset  $U \subseteq X$  is open if for any  $x \in U$  there exists  $\varepsilon > 0$  such that  $B(x, \varepsilon) \subseteq U$ .

**Question 2. [10 marks]**

- (a) When do we say that a sequence  $\{x_n\}_{n \geq 1}$  of points in a metric space  $X$  converges to a point  $x_0 \in X$ ? [2]

[Bookwork] We say that a sequence  $x_n \in X$  converges to a point  $x_0 \in X$  if for any  $\varepsilon > 0$  there exists  $N > 0$  such that for any  $n > N$  one has  $d(x_n, x_0) < \varepsilon$ .

- (b) When do we say that a sequence  $\{x_n\}_{n \geq 1}$  of points in a topological space  $X$  converges to a point  $x_0 \in X$ ? [2]

[Bookwork] We say that a sequence  $\{x_n\}_{n \geq 1}$  of points in a topological space  $X$  converges to a point  $x_0$  if for any open set  $U$  containing  $x_0$  there is  $N$  such that  $x_n \in U$  for all  $n \geq N$ .

- (c) Let  $X$  be a metric space. Is it possible that a sequence of points  $\{x_n\}_{n \geq 1}$ ,  $x_n \in X$  converges to two distinct points  $x_0, x'_0 \in X$ ,  $x_0 \neq x'_0$ ? Justify your answer. [2]

[Bookwork] The limit of a sequence in a metric space is unique. Indeed, suppose that  $x_n \rightarrow x_0$  and  $x_n \rightarrow x'_0$ . Take  $\varepsilon = d(x_0, x'_0)/2 > 0$ . Then for all large  $n$  the point  $x_n$  must lie in  $B(x_0, \varepsilon) \cap B(x'_0, \varepsilon) = \emptyset$  - contradiction.

- (d) Consider  $X = \mathbb{R}$  with the finite-complement topology (i.e. when open subsets are complements of the finite subsets). Consider the sequence  $x_n = n \in X$  and find all points  $x_0 \in X$  such that the sequence  $\{x_n\}_{n \geq 1}$  converges to  $x_0$ . Justify your answer. [4]

[Bookwork] In  $\mathbb{R}$  with the finite-complement topology the sequence  $\{x_n\}$  with  $x_n = n$  converges to any number  $x_0 \in \mathbb{R}$ .

**Question 3. [10 marks]**

- (a) Explain what is meant for a metric space  $(X, d)$  to be *complete* and give an example of a metric space which is not complete. Justify your answer. [2]

[Bookwork] We say that a metric space  $(X, d)$  is complete if any Cauchy sequence in  $X$  converges. The space  $X = \mathbb{R} - \{0\}$  with the induced metric is not complete as the sequence  $x_n = 1/n$  is Cauchy but does not have a limit in  $X$ .

- (b) Let  $X$  be a metric space and let  $F \subseteq X$  be a closed subset. Show that  $F$  is complete with respect to the induced metric. [2]

[Bookwork] If  $x_n$  is a Cauchy sequence in  $F$  then it converges in  $X$  (since  $X$  is complete) and the limit  $x_0 = \lim x_n$  must belong to  $F$  since  $F$  is closed. Hence any Cauchy sequence in  $F$  converges, i.e.  $F$  is complete.

- (c) Which of the following subsets of  $\mathbb{R}$  are complete when considered as subspaces of  $\mathbb{R}$  with the usual metric? Briefly justify your answer.

- (i)  $\{3^n; n = 1, 2, \dots\}$ , [2]

[Seen similar] This set is complete as a closed subset of a complete metric space  $\mathbb{R}$ .

- (ii)  $\{3^{-n}; n = 1, 2, \dots\}$ , [2]

[Seen similar] This set is not complete since it is a subset which is not closed in  $\mathbb{R}$ .

- (iii)  $\{3^{-n}; n = 1, 2, \dots\} \cup \{0\}$ . [2]

[Seen similar] This set is complete as a closed subset of a complete metric space  $\mathbb{R}$ .

**Question 4. [10 marks]**

- (a) Define the sup metric on the set  $C[0, \pi]$  of all real continuous function on the closed interval  $[0, \pi]$ . [3]

[Bookwork] For  $f, g \in C[0, \pi]$  we set

$$d(f, g) = \max_{t \in [0, \pi]} |f(t) - g(t)|.$$

We use the fact that the function  $f - g$  is continuous on the closed interval and hence it is bounded and attains its maximum and minimum.

- (b) Is  $C[0, \pi]$  complete? (No proof is required.) [3]

[Bookwork] The space  $C[0, \pi]$  is complete (it was proven in lectures).

- (c) Decide whether the sequence of functions

$$f_n(x) = \sin(nx), \quad x \in [0, \pi],$$

converges in  $C[0, \pi]$  with respect to the sup metric. [4]

[Seen] Set  $x_0 = \pi/2$ . Then for any even  $n$  one has  $f_n(x_0) = \sin(nx_0) = 0$  and for any odd  $n$  one has  $f_n(x_0) = \sin(nx_0) = \pm 1$  (it is 1 if  $n \equiv 1 \pmod{4}$  and  $-1$  if  $n \equiv 3 \pmod{4}$ ). Hence we see that the sequence of real numbers  $f_n(x_0)$  does not converge for  $x_0 = \pi/2$  and hence the sequence of functions  $\{f_n\}$  does not converge in  $C[0, \pi]$ .

**Question 5. [23 marks]**

- (a) Give the
- $\varepsilon - \delta$
- definition of continuity of a map
- $f : X \rightarrow Y$
- between metric spaces
- $(X, d_X)$
- and
- $(Y, d_Y)$
- . [3]

[Bookwork] A map  $f : X \rightarrow Y$  is continuous if for any  $x \in X$  and for any  $\varepsilon > 0$  there exists  $\delta > 0$  such  $d_X(x, y) < \delta$  implies  $d_Y(f(x), f(y)) < \varepsilon$ .

- (b) Show that if a map
- $f : X \rightarrow Y$
- is continuous then for any open set
- $U \subseteq Y$
- the preimage
- $f^{-1}(U) \subseteq X$
- is open. [4]

[Bookwork] Suppose that  $f : X \rightarrow Y$  is continuous and  $x \in f^{-1}(U)$  where  $U \subseteq Y$  is open. Then  $f(x) \in U$  and there exists  $\varepsilon > 0$  such that  $B(f(x), \varepsilon) \subseteq U$ . Let  $\delta > 0$  be the number given by the definition of continuity, see above. Then

$$f(B(x, \delta)) \subseteq B(f(x), \varepsilon) \subseteq U$$

i.e.  $B(x, \delta) \subseteq f^{-1}(U)$ . This shows that  $f^{-1}(U)$  is open.

- (c) Give an example of a non-constant continuous map
- $f : \mathbb{R} \rightarrow \mathbb{R}$
- and an open subset
- $U \subseteq \mathbb{R}$
- such that the image
- $f(U) \subseteq \mathbb{R}$
- is not open. [5]

[Unseen] Set  $f(x) = x^2$  for  $x \in \mathbb{R}$ . The image of the open interval  $(-1, 1)$  is  $[0, 1)$ , not open.

- (d) Show that if a map
- $f : X \rightarrow Y$
- is continuous then for any closed set
- $F \subseteq Y$
- the preimage
- $f^{-1}(F) \subseteq X$
- is closed. [4]

[Bookwork] If  $F \subseteq Y$  is closed then the complement  $F^c$  is open and

$$(f^{-1}(F))^c = f^{-1}(F^c)$$

is open, hence  $f^{-1}(F)$  is closed.

- (e) Is it true that the image of a closed set under a continuous map is closed?  
Explain your answer. [7]

[Unseen] Consider the continuous map  $f : \mathbb{R} \rightarrow \mathbb{R}$  where  $f(x) = e^{-x}$ . The set  $F = \{1, 2, 3, \dots\}$  is closed and its image  $f(F) = \{e^{-1}, e^{-2}, e^{-3}, \dots\}$  is not closed. Hence it is not true in general that the image of a closed set under a continuous map is closed.

**Question 6. [17 marks]**

- (a) What is meant by *an open cover* of a topological space? [2]

[Bookwork] An open cover of  $X$  is a family of open sets  $\{U\}$ , where  $U \subset X$ , such that the union  $\cup\{U\}$  equals  $X$

- (b) When do we say that a topological space is *compact*? [3]

[Bookwork] We say that a topological space  $X$  is compact if any open cover of  $X$  has a finite subcover.

- (c) Which of the following subsets of the real line  $\mathbb{R}$  are compact? Briefly justify your answer:

- (i)  $\mathbb{R}$ ; [3]

[Bookwork] Not compact as it is not bounded.

- (ii)  $[2, 3]$ ; [3]

[Bookwork] Compact; it is a closed and bounded subset of the real line.

- (iii)  $(2, 3)$ ; [3]

[Bookwork] Not compact as it is not closed.

- (iv)  $[2, \infty)$ ; [3]

[Bookwork] Not compact as it is not bounded.

**Question 7. [26 marks]**

- (a) State the contraction mapping theorem. [5]

[Bookwork] Let  $f : X \rightarrow X$  be a contraction of a complete metric space  $X$ . Then  $f$  has a unique fixed point  $x_0 \in X$ , i.e.  $f(x_0) = x_0$ .

- (b) Consider  $\mathbb{R}^2$  with the  $d_1$ -metric, i.e.  $d_1(v, v') = |x - x'| + |y - y'|$  where  $v = (x, y)$  and  $v' = (x', y')$ . Is this metric space complete? Justify your answer. [5]

[Bookwork] This metric space is complete. If  $v_n = (x_n, y_n)$  is a Cauchy sequence then for any  $\varepsilon > 0$  there exists  $N$  such that  $|x_n - x_m| + |y_n - y_m| < \varepsilon$  for  $n, m > N$ ; hence each of the sequences  $\{x_n\}$  and  $\{y_n\}$  is Cauchy and hence converges in  $\mathbb{R}$ . If  $x_0$  and  $y_0$  are their limits then  $v_n$  converges to  $v_0 = (x_0, y_0)$  in the  $d_1$ -metric.

- (c) Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be given by

$$f(v) = \left(\frac{1}{3}y, \frac{1}{2}(x+1)\right),$$

where  $v = (x, y)$ . Show that  $f$  is a contraction with respect to the  $d_1$ -metric. [10]

[Unseen] Let  $v = (x, y)$  and  $v' = (x', y')$ . Then  $f(v) = (\frac{1}{3}y, \frac{1}{2}(x+1))$  and  $f(v') = (\frac{1}{3}y', \frac{1}{2}(x'+1))$ . We obtain

$$d_1(f(v), f(v')) = \frac{1}{3}|y - y'| + \frac{1}{2}|x - x'| \leq \frac{1}{2}d_1(v, v').$$

Hence we see that  $f$  is a contraction with coefficient  $\alpha = 1/2$ .

- (d) Find the fixed point of  $f$ . [6]

[Unseen, seen similar] To find the fixed point we solve the system of equations

$$\begin{aligned} \frac{1}{3}y &= x, \\ \frac{1}{2}(x+1) &= y. \end{aligned}$$

We find  $(x, y) = (1/5, 3/5)$ .

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**End of Paper.**