

Recap • Rational numbers  $\mathbb{Q}$ : definition as fractions  $a/b$  with  $a, b \in \mathbb{Z}$  and  $b \neq 0$ . Similarities and differences to the integers.

- Real numbers  $\mathbb{R}$ : definition in terms of (possibly infinite) decimal expansions;  $\mathbb{Q}$  is the subset of  $\mathbb{R}$  with eventually periodic expansions.
  - Maximum of a set of numbers; upper bound and bounded above.
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Examples: •  $\{x \in \mathbb{Q} : 0 < x < 1\}$  is bounded above but does not have a maximum, (\*)


- $\emptyset$  is bounded above but does not have a maximum.

Definition Let  $X$  be a non-empty set of real numbers. We say that  $s$  is a supremum (= least upper bound) if  $s$  is an upper bound for  $X$ , and  $t \geq s$  for any upper bound of  $X$ .

Idea is to deal with (\*), which "ought" to have a maximum but don't.

Examples • Any finite set has a supremum (just take the

largest element in the set.

- If the set  $X$  has a maximum  $m$ , then  $m$  is also a supremum of  $X$ . (For all  $x \in X$ , we have  $x \leq m$ , since  $m$  is the maximum; clearly there is no smaller upper bound than  $m$ .)
- $X = \{x \in \mathbb{R} : 1 < x < 2\}$  has 2 as a supremum. Clearly, 2 is an upper bound. If  $u \in \mathbb{R}$  is a smaller upper bound, then certainly  $u \geq 3/2$ ; consider  $y = \frac{u+2}{2}$  and observe that  $1 < u < y < 2$  which contradicts  $u$  being an upper bound. 
- $\emptyset$  is bounded above, but does not have a supremum. ( $0, -1, -2, -3, \dots$  are all upper bounds, but there is no least one.)

Lemma 8.1 If  $X \subseteq \mathbb{R}$  has a supremum then that supremum is unique.

Proof Suppose that  $s$  and  $t$  are both supremums of  $X$ . Then  $s \leq t$  since  $s$  is a least upper bound and  $t$  is just some upper bound for  $X$ . Symmetrically  $t \leq s$ .  $\square$

We talk about the supremum of  $X$  and write  $\sup X$ . By convention,  $\sup X = \infty$  if  $X$  is not bounded above and  $\sup \emptyset = -\infty$ .

Informally,  $\sup X$  acts like  $\max X$  when  $\max X$  does not exist.

Back to  $\mathbb{R}$ : Let  $A = \{x \in \mathbb{Q} : x^2 \leq 2\}$ . We hope that  $\sup A = \sqrt{2}$ . • Let  $x \in A$  be arbitrary. Then  $x^2 \leq 2$  and so  $x \leq \sqrt{2}$ . I.e.,  $\sqrt{2}$  is an upper bound. For a contradiction, suppose  $u$  is a smaller upper bound on  $A$ . Choose a rational  $q \in \mathbb{Q}$  with  $u < q < \sqrt{2}^{(+)}$ , and  $q \geq 0$ . Then  $q^2 \leq 2$  and so  $q \in A$ . Thus  $q$  is bigger than the alleged upper bound  $u$ .  $\times$

(+) See Week 12 tutorial sheet!

Remark.  $A$  does not have a maximum, (+)

What happened above with the  $A$  happens in general.

Theorem 8.2 If  $X$  is a non-empty subset of  $\mathbb{R}$  that is bounded above, then  $X$  has a supremum.

Proof Outside of the module.

Everything we did with upper bounds can be done with lower bounds, in which case supremum becomes infimum.