

Exceptions

Exceptions

4 Groups of exceptions to the open access policy:

Access – research must still deposit, but there may be a delay on releasing the manuscript exceeding the maximum embargo requirement

Deposit – deposit either not within the timeframe required, or at all

Technical – deposit as soon as possible

Other – may fall outside the policy, issues with the publication information (for humans to decide how to respond)

Exceptions on access

Access 1 – 3rd party copyright content within the paper, permissions not granted (in time, or at all)

Access 2 – Publisher has an embargo that exceeds the maximum allowed for the discipline; researcher must be able to justify if challenged, why they used this journal

Access 3 – Publisher does not support deposit to a repository; researcher must be able to justify if challenged, why they used this journal

Exceptions on deposit

Deposit 1 – No repository available at the time of acceptance

Deposit 2 – Delay in securing the full text of the accepted manuscript in time

Deposit 3 – Employed outside the UK when the paper was submitted

Deposit 4 – It would be unlawful to deposit

Deposit 5 – Deposit would represent a security risk

Deposit 6 – The paper was published via the gold route

Exceptions – technical

Technical 1 – Conference proceeding without an ISSN

Technical 2 – Other UK HEI failed to comply

Technical 3 – Repository technical failure

Technical 4 – External provide failure

Exceptions – ‘Other’

Publication records where we cannot find more information about the paper therefore cannot exclude from the OA reports

Publications that are not REF eligible but cannot be removed from OA reports through other means