

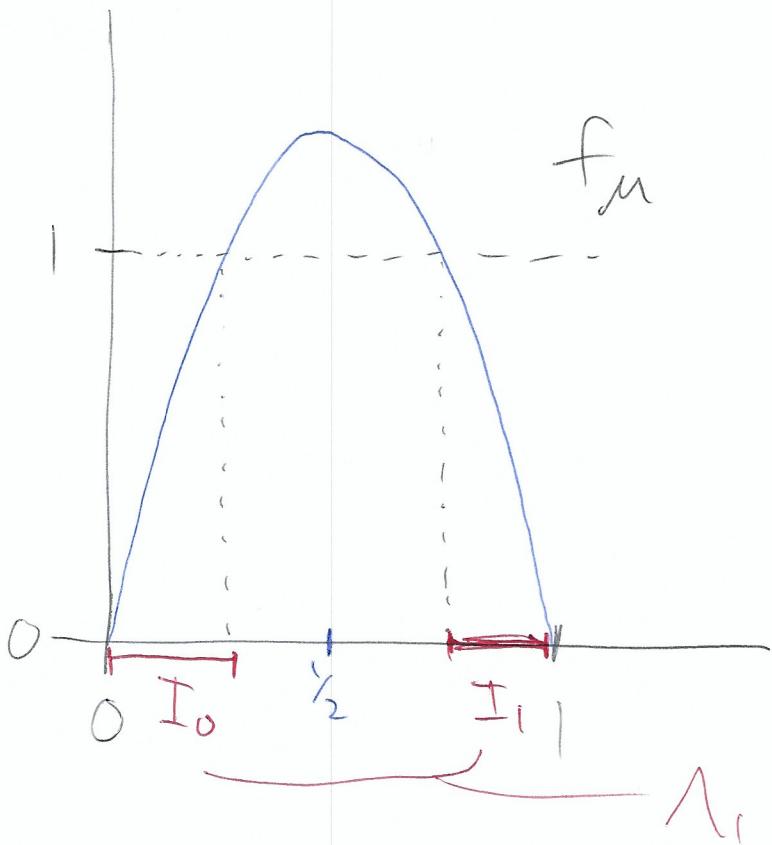
Non-escaping set  $\Lambda(f_\mu)$  is given by

$$\begin{aligned}\Lambda(f_\mu) &= \left\{ x \in [0,1] : f_\mu^n(x) \in [0,1] \forall n \geq 0 \right\} \\ &= \bigcap_{n=0}^{\infty} \Lambda_n\end{aligned}$$

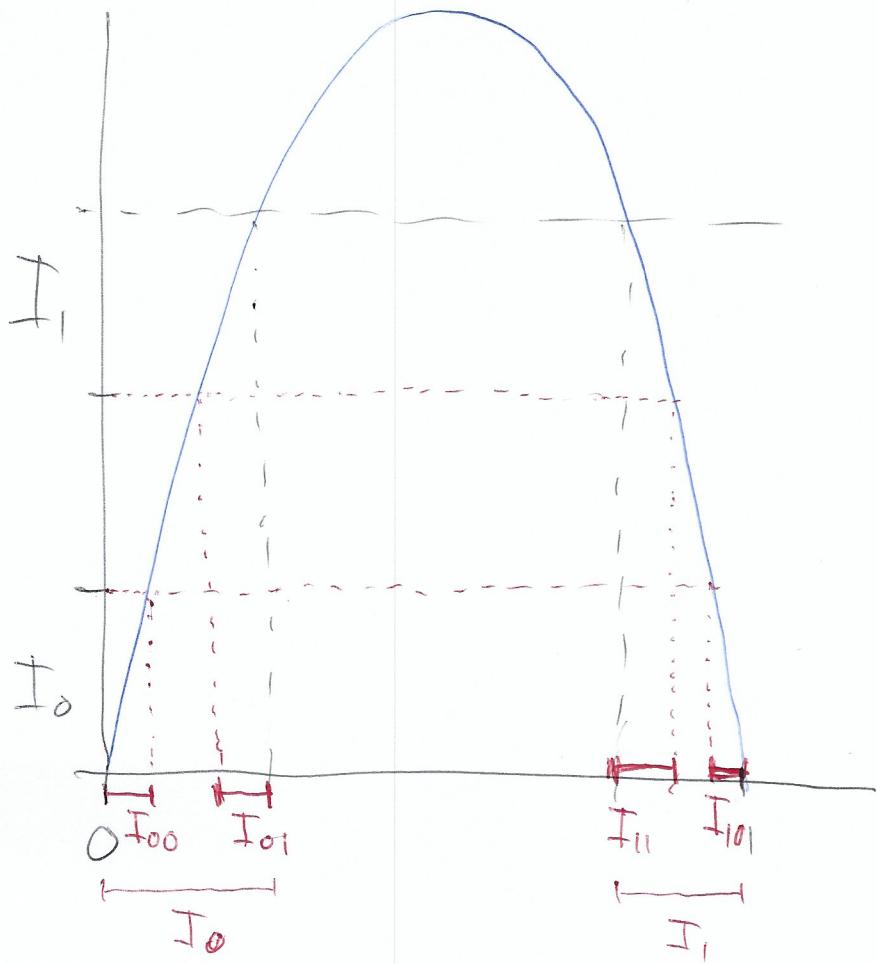
$$\begin{aligned}\text{where } \Lambda_n &:= \left\{ x \in [0,1] : f_\mu^n(x) \in [0,1] \right\} \\ &= \left\{ x \in [0,1] : f_\mu^n(x) \text{ is defined and} \right. \\ &\quad \left. \text{belongs to } [0,1] \right\} \\ &= \left\{ x \in [0,1] : f_\mu^i(x) \in [0,1] \text{ for all } 0 \leq i \leq n \right\}\end{aligned}$$

Note that  $\Lambda_0 = [0,1]$

Note that  $\Lambda_1 = I_0 \cup I_1$  is the union of two disjoint closed intervals  
( $I_0$  and  $I_1$ , where  $I_0 \subset [0, \frac{1}{2}]$ ,  $I_1 \subset [\frac{1}{2}, 1]$ )



Note that  $N_1 = I_{00} \cup I_{01} \cup I_{11} \cup I_{10}$   
 is the union of four disjoint closed intervals



In general, the set  $\Lambda_n$  can be written as a disjoint union of  $2^n$  closed intervals

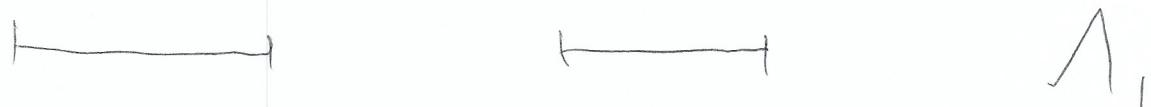
$$I_{b_1 b_2 \dots b_n} = \left\{ x \in [0, 1] : f_\mu^{i-1}(x) \in I_{b_i} \text{ for } 1 \leq i \leq n \right\}$$

where  $b_i \in \{0, 1\}$ .

The non-escaping set  $\Lambda(f_\mu)$  can be written as

$$\Lambda(f_\mu) = \bigcap_{n=0}^{\infty} \Lambda_n,$$

and this is a Cantor set



The points in  $\Lambda^{f_\mu}$  correspond naturally to the set  $S$  of sequences whose entries are 0 or 1

If  $b = b_1 b_2 b_3 b_4 \dots$  is such a sequence then there is a (unique) point  $x_0 \in \Lambda(f_\mu)$  such that

$$f_\mu^{i-1}(x_0) = x_{i-1} \in I_{b_i} \quad \forall i \in \mathbb{N}.$$

In fact:

Prop: For  $\mu > 4$ , the non-escaping set  $\Lambda(f_\mu)$  is a Cantor set, and the dynamical system  $f_\mu : \Lambda(f_\mu) \rightarrow \Lambda(f_\mu)$  is (topologically) conjugate to the shift map  $\sigma : S \rightarrow S$ .

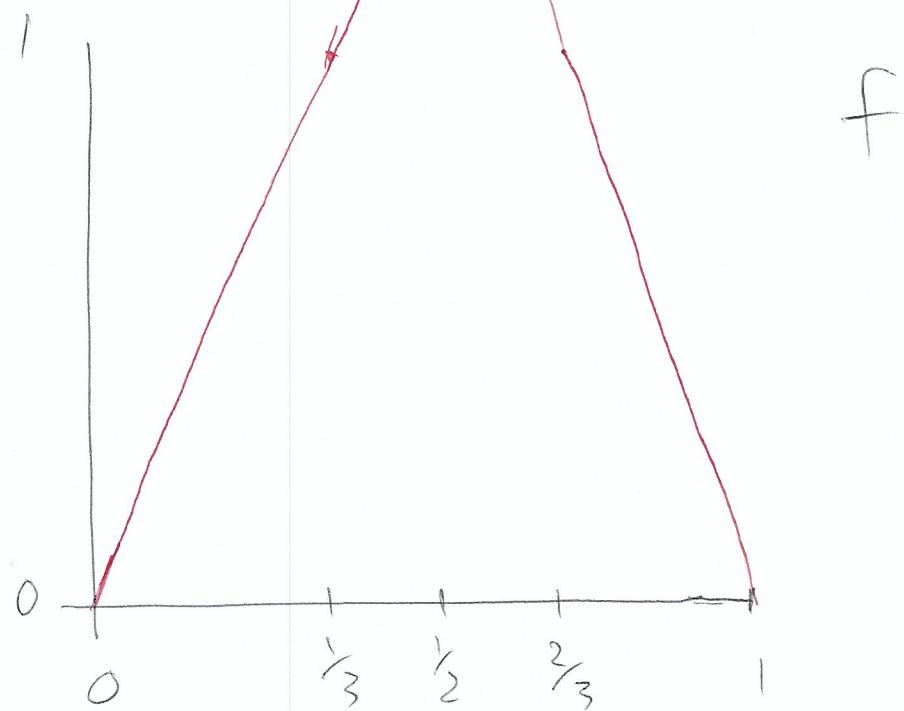
The diagram

$$\begin{array}{ccc} S & \xrightarrow{\sigma} & S \\ h \downarrow & & \downarrow h \\ A(f_\mu) & \xrightarrow{f_\mu} & A(f_\mu) \end{array}$$

Commutes ( $\Leftrightarrow h \circ \sigma = f_\mu \circ h$ ),  
where  $h(b_1 b_2 b_3 \dots)$  is defined to be  
the point  $x_0 \in A(f_\mu)$  such that  
 $x_{i-1} \in I_{b_i}$  for all  $i \in \mathbb{N}$

Corollary For  $\mu > 4$ , the logistic map  
 $f_\mu : A(f_\mu) \rightarrow A(f_\mu)$  is chaotic  
(in the sense of Devaney).

Example Viewing the Middle- $\frac{1}{3}$  Cantor set as a non-escaping set



Define  $f: [0, 1] \rightarrow \mathbb{R}$

$$f(x) = \begin{cases} 3x & \text{if } x \in [0, \frac{1}{2}) \\ 3 - 3x & \text{if } x \in [\frac{1}{2}, 1] \end{cases}$$

Then the non-escaping set

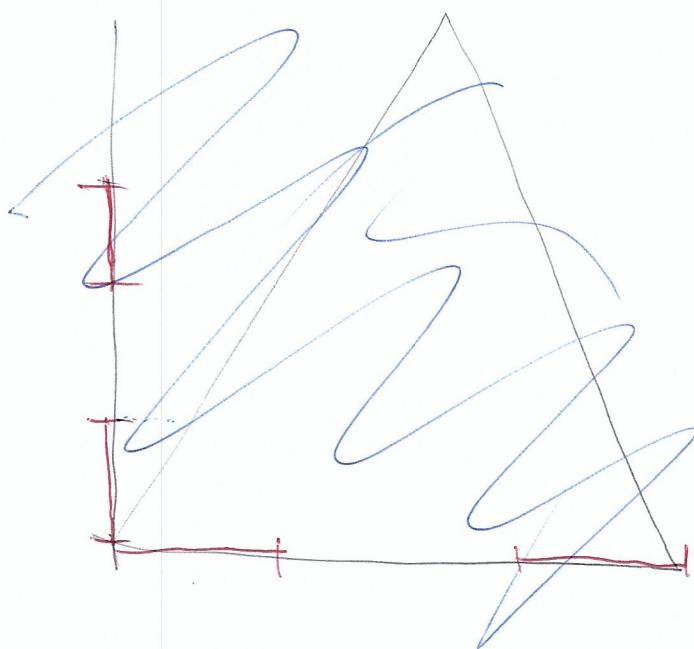
$$\Lambda(f) = \{x \in [0, 1] : f^n(x) \in [0, 1] \ \forall n \geq 0\}$$

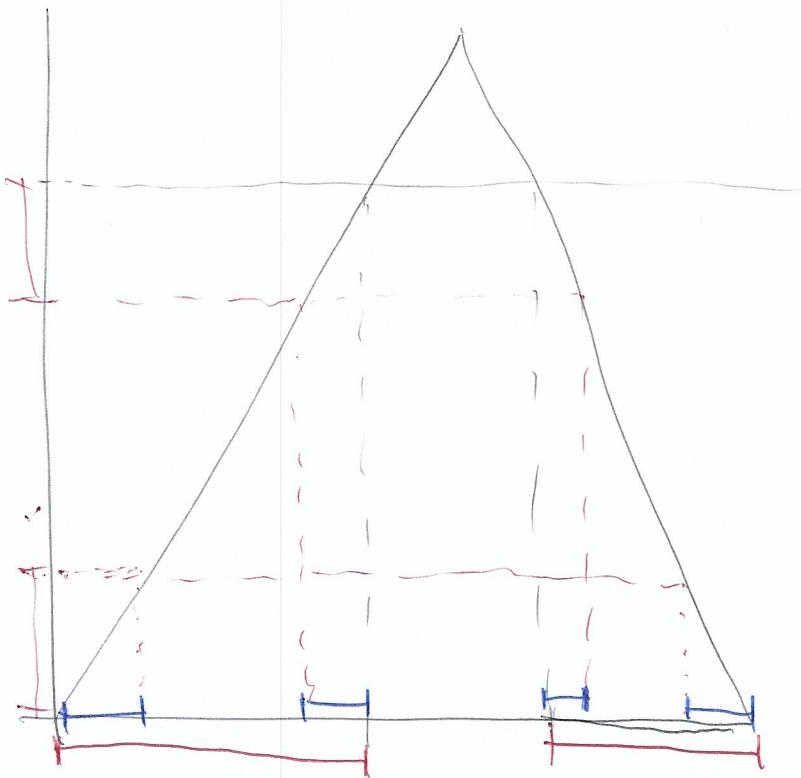
is precisely the middle-third Cantor set.

To see this, note that

$$\begin{aligned}\Lambda_1 &= \{x \in [0,1] : f(x) \in [0,1]\} \\ &= f^{-1}([0,1]) \\ &= [0, \frac{1}{3}] \cup [\frac{2}{3}, 1]\end{aligned}$$

$$\begin{aligned}\Lambda_2 &= [0, \frac{1}{9}] \cup [\frac{2}{9}, \frac{1}{3}] \cup [\frac{2}{3}, \frac{7}{9}] \cup [\frac{8}{9}, 1] \\ &= f^{-1}([0, \frac{1}{3}] \cup [\frac{2}{3}, 1])\end{aligned}$$





### A related viewpoint

Alternatively, we could introduce maps  
 $\varphi_1 : [0, 1] \rightarrow [0, 1]$  and  $\varphi_2 : [0, 1] \rightarrow [0, 1]$   
defined by  $\varphi_1(x) = \frac{x}{3}$

$$\text{and } \varphi_2(x) = \frac{x+2}{3} = \frac{x}{3} + \frac{2}{3}$$

Note that  $\varphi_1([0, 1]) = [0, \frac{1}{3}]$

$$\varphi_2([0, 1]) = [\frac{2}{3}, 1]$$

Now define a mapping  $\Phi$  to act on subsets of  $[0, 1]$  by the formula

$$\Phi(A) = \varphi_1(A) \cup \varphi_2(A)$$

(where  $\varphi_i(A) = \{\varphi_i(a) : a \in A\}$  for  $i=1, 2$ )

Then  $\Phi([0, 1]) = \varphi_1([0, 1]) \cup \varphi_2([0, 1])$

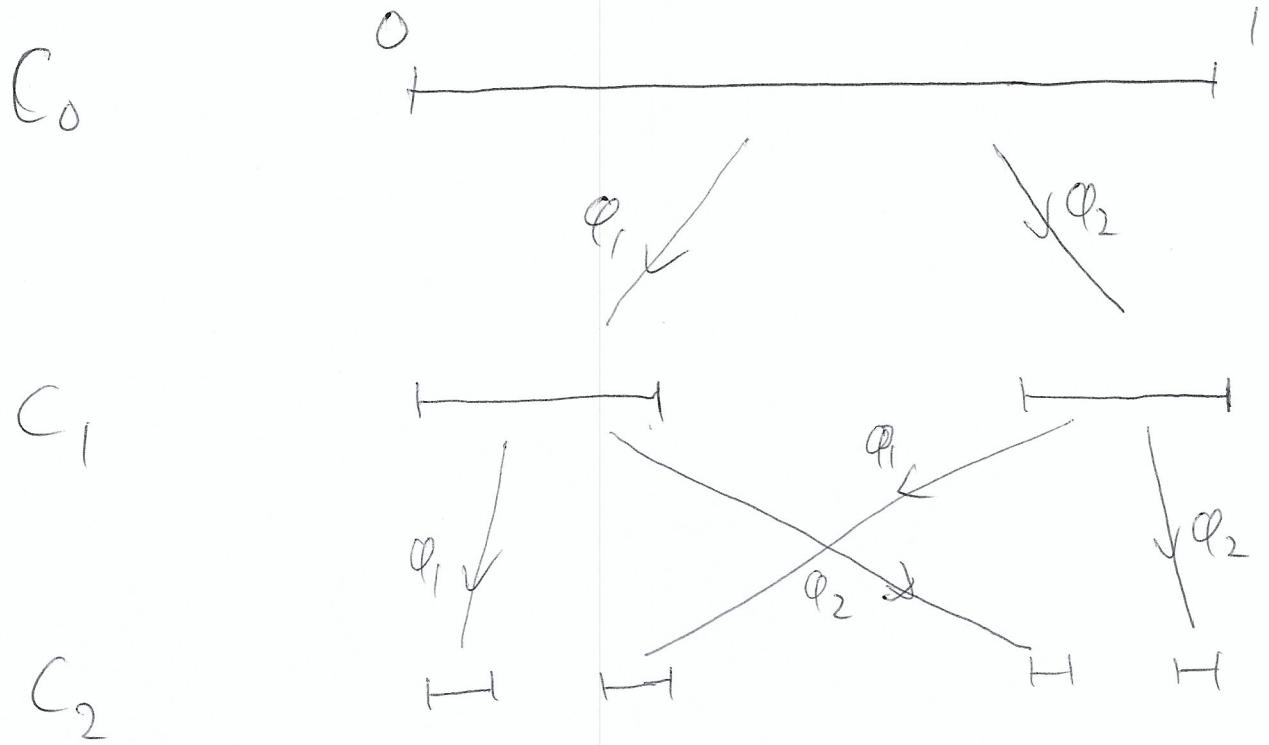
$$= [0, \frac{1}{3}] \cup [\frac{2}{3}, 1]$$

Also  $\Phi^2([0, 1]) = \Phi(\Phi([0, 1]))$

$$= \Phi([0, \frac{1}{3}] \cup [\frac{2}{3}, 1])$$

$$= \varphi_1([0, \frac{1}{3}] \cup [\frac{2}{3}, 1]) \cup \varphi_2([0, \frac{1}{3}] \cup [\frac{2}{3}, 1])$$

$$= [0, \frac{1}{9}] \cup [\frac{2}{9}, \frac{1}{3}] \cup [\frac{2}{3}, \frac{7}{9}] \cup [\frac{8}{9}, 1]$$



In fact  $\Phi^n([0,1])$  is the set  $C_n$  in the definition of the middle-third Cantor set  $C$ .

$$\text{So } C = \bigcap_{n=0}^{\infty} C_n = \bigcap_{n=0}^{\infty} \Phi^n([0,1])$$

Remark We can think of  $C$  as a fixed point of  $\Phi$ , i.e.  $\Phi(C) = C$ .

There is also a sense in which  $\Phi^n([0,1])$  converges to  $C$  as  $n \rightarrow \infty$  (this is a more advanced viewpoint).

This viewpoint suggests the following:

Defn A finite collection of maps  $\varphi_1, \dots, \varphi_k$  where each  $\varphi_i : [0, 1] \rightarrow [0, 1]$ , is called an iterated function system.

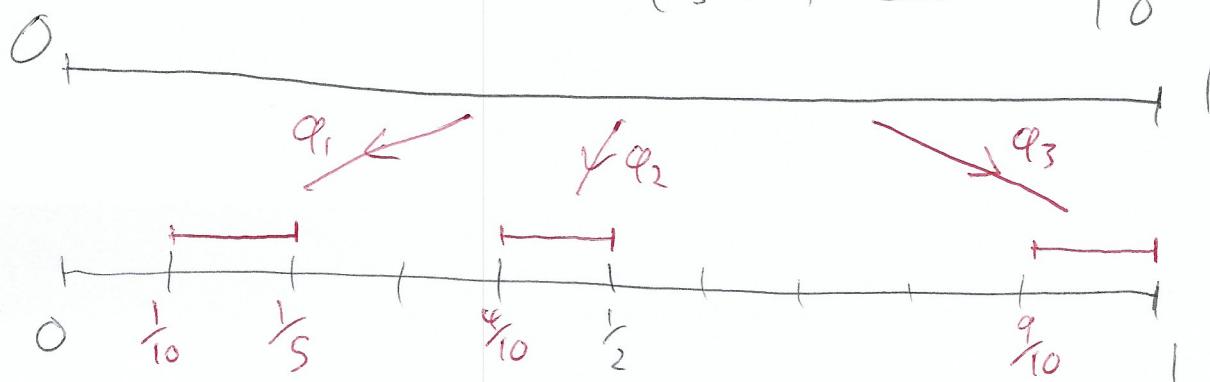
We define an associated map  $\bar{\Phi}$ , acting on subsets of  $[0, 1]$ ,

by  $\bar{\Phi}(A) = \bigcup_{i=1}^k \varphi_i(A)$

Example Define  $\varphi_1(x) = \frac{x+1}{10}$

$$\varphi_2(x) = \frac{x+4}{10}$$

$$\varphi_3(x) = \frac{x+9}{10}$$



Note that  $\varphi_1([0, 1]) = \left[\frac{1}{10}, \frac{2}{10}\right] = \left\{ \begin{array}{l} \text{numbers with decimal} \\ \text{expansion } 0.1\dots \end{array} \right\}$

$\varphi_2([0, 1]) = \left[\frac{4}{10}, \frac{5}{10}\right] = \left\{ \begin{array}{l} \text{numbers with decimal} \\ \text{expansion } 0.4\dots \end{array} \right\}$

$\varphi_3([0, 1]) = \left[\frac{9}{10}, 1\right] = \left\{ \begin{array}{l} \text{numbers with decimal} \\ \text{expansion } 0.9\dots \end{array} \right\}$

$$\text{So } \overline{\Phi}([0, 1]) = \left[\frac{1}{10}, \frac{2}{10}\right] \cup \left[\frac{4}{10}, \frac{5}{10}\right] \cup \left[\frac{9}{10}, 1\right]$$

$$\text{Then } \overline{\Phi}^2([0, 1]) = \overline{\Phi}(\overline{\Phi}([0, 1]))$$

$$= \overline{\Phi}\left(\left[\frac{1}{10}, \frac{2}{10}\right] \cup \left[\frac{4}{10}, \frac{5}{10}\right] \cup \left[\frac{9}{10}, 1\right]\right)$$

$$= \varphi_1\left(\left[\frac{1}{10}, \frac{2}{10}\right] \cup \left[\frac{4}{10}, \frac{5}{10}\right] \cup \left[\frac{9}{10}, 1\right]\right)$$

$$\cup \varphi_2\left(\quad \quad \quad \quad \quad \quad \quad \right)$$

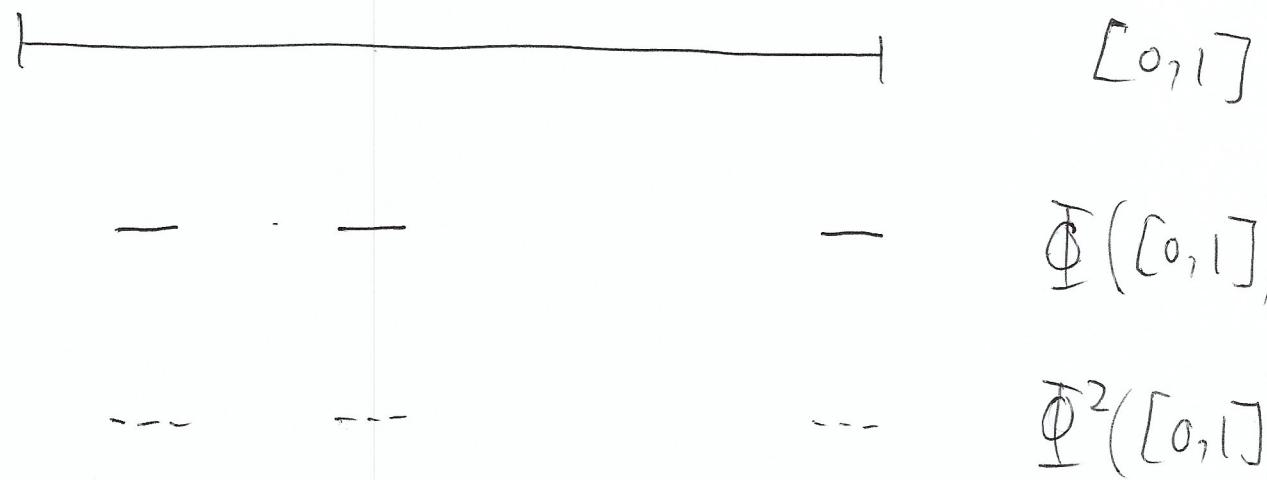
$$\cup \varphi_3\left(\quad \quad \quad \quad \quad \quad \quad \right)$$

$$= \left[\frac{11}{100}, \frac{12}{100}\right] \cup \left[\frac{14}{100}, \frac{15}{100}\right] \cup \left[\frac{19}{100}, \frac{20}{100}\right]$$

$$\cup \left[\frac{41}{100}, \frac{42}{100}\right] \cup \left[\frac{44}{100}, \frac{45}{100}\right] \cup \left[\frac{49}{100}, \frac{50}{100}\right]$$

$$\cup \left[\frac{91}{100}, \frac{92}{100}\right] \cup \left[\frac{94}{100}, \frac{95}{100}\right] \cup \left[\frac{99}{100}, \frac{100}{100}\right]$$

(note that e.g. the interval  $[\frac{14}{100}, \frac{15}{100}]$  is precisely the set of all numbers which admit a decimal expansion whose first two digits are 14, i.e. they have a decimal expansion  $0.14\dots$ )



The intersection  $\bigcap_{n=0}^{\infty} \Phi^n([0,1])$  is a Cantor set, let's call it K.

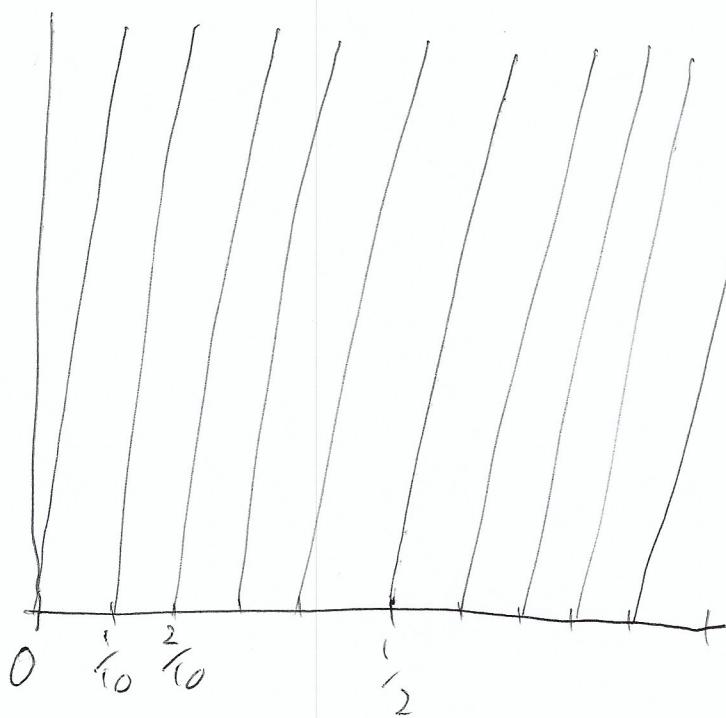
It is the set of all numbers in  $[0,1]$  which have a decimal expansion only containing the digits 1, 4 and 9.

Also  $\Phi(K) = K$ .

Also, if we define  $f: [0,1] \rightarrow [0,1]$

by

$$f(x) = \begin{cases} 10x \pmod{1} & \text{if } x \neq 1 \\ 1 & \text{if } x = 1 \end{cases}$$



Then  $f(K) = K$ .

In view of the fact that  $f(K) = K$  we might consider the restricted map  $f|_K: K \rightarrow K$ . This restricted map is topologically conjugate to the shift map  $\sigma$  on the set of sequences on a 3-digit alphabet (ie.  $\{(b_i)\}_{i=1}^\infty : b_i \in \{1, 4, 9\}\}$ )

It is natural to extend the definition of iterated function system to higher dimensions:

Defn A finite collection  $\varphi_1, \dots, \varphi_k$  of maps  $\varphi_i : \mathbb{R}^d \rightarrow \mathbb{R}^d$  is called an iterated function system (on  $\mathbb{R}^d$ ).

The associated map  $\Phi$  acting on subsets of  $\mathbb{R}^d$  is defined by :

$$\Phi(A) = \bigcup_{i=1}^k \varphi_i(A)$$

Example  $d=2$

$$\varphi_1(x, y) := \left( \frac{x+1}{3}, \frac{y}{3} \right)$$

$$\varphi_1([0, 1]^2) = \left[ \frac{1}{3}, \frac{2}{3} \right] \times \left[ 0, \frac{1}{3} \right]$$

$$\varphi_2(x, y) := \left( \frac{x}{3}, \frac{y+1}{3} \right)$$

$$\varphi_2([0, 1]^2) = \left[ 0, \frac{1}{3} \right] \times \left[ \frac{1}{3}, \frac{2}{3} \right]$$

$$\varphi_3(x, y) := \left( \frac{x+2}{3}, \frac{y+1}{3} \right)$$

$$\varphi_3([0, 1]^2) = \left[ \frac{2}{3}, 1 \right] \times \left[ \frac{1}{3}, \frac{2}{3} \right]$$

$$\varphi_4(x, y) := \left( \frac{x+1}{3}, \frac{y+2}{3} \right)$$

$$\varphi_4([0, 1]^2) = \left[ \frac{1}{3}, \frac{2}{3} \right] \times \left[ \frac{2}{3}, 1 \right]$$

As usual, define  $\Phi(A) = \bigcup_{i=1}^4 \varphi_i(A)$

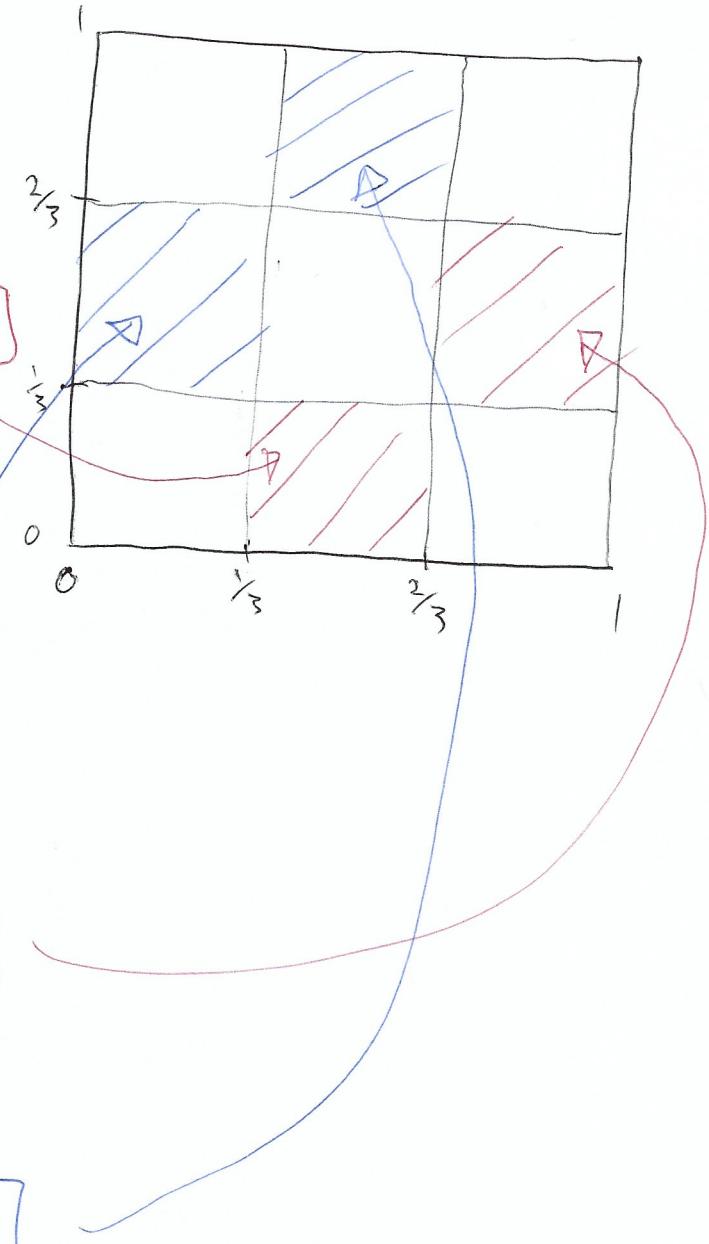
$$F_0 = [0, 1]^2$$

$$F_1 = \Phi([0, 1]^2) = \Phi(F_0)$$

$$\text{In general } F_n := \Phi^n([0, 1]^2) = \Phi(F_{n-1})$$

$$\text{Let } F = \bigcap_{n=0}^{\infty} F_n = \bigcap_{n=0}^{\infty} \Phi^n([0, 1]^2).$$

This is a Cantor set

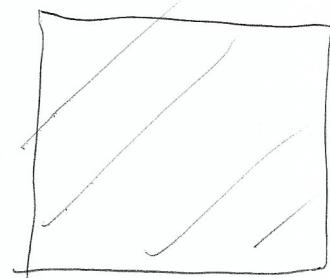


Pictorially :-

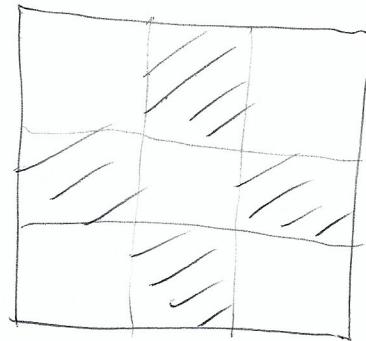
$n$

$F_n$

0



1



2

