

# Mathematical problems of General Relativity

## Lecture 3

Juan A. Valiente Kroon

School of Mathematical Sciences  
Queen Mary, University of London  
[j.a.valiente-kroon@qmul.ac.uk](mailto:j.a.valiente-kroon@qmul.ac.uk),

LTCC Course LMS

# Outline

- 1 The 3 + 1 decomposition of General Relativity
  - The 3+1 form of the spacetime metric
- 2 A closer look at the constraint equations
- 3 Time independent solutions

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# Adapted coordinates (I)

## Remarks:

- The discussion of the evolution equations given in the previous section has been completely general.
- The only assumption that has been made about the spacetime is that it is globally hyperbolic so that a foliation and a corresponding time vector exist.
- The discussion of the  $3+1$  can be further particularised by introducing adapted coordinates. In this section we briefly discuss how this can be done.

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## Choosing the time coordinate:

- Recall that the hypersurfaces of the foliation of a spacetime  $(\mathcal{M}, g_{ab})$  can be given as the level surfaces of a time function  $t$ .
- We already have seen that  $\nabla_a t^a = 1$ . The latter combined with  $\nabla_\mu t = (1, 0, 0, 0)$  readily imply that  $t^\mu = (1, 0, 0, 0)$ . Hence, the Lie derivative along the direction of  $t^a$  is simply a partial derivative—that is,

$$\mathcal{L}_t = \partial_t.$$

# Adapted coordinates (II)

## The shift vector:

- From the previous discussion it follows that the spatial components of the unit normal must vanish —i.e.  $n_\alpha = 0$ .
- Since the contraction of spatial vectors with the normal must vanish, it follows that all components of spatial tensors with a contravariant index equal to zero must vanish.
- For the shift vector one has that  $n_\mu \beta^\mu = n_0 \beta^0 = 0$  so that  $\beta^\mu = (0, \beta^\alpha)$ .
- Since one has that  $t^a = \alpha n^a + \beta^a$ , it follows then that

$$n^\mu = (\alpha^{-1}, -\alpha^{-1} \beta^\alpha).$$

- Moreover, from the normalisation condition  $n_\alpha n^\alpha = -1$  one finds

$$n_\mu = (-\alpha, 0, 0, 0).$$

# Adapted coordinates (III)

## The 3-metric:

- Recalling that  $h_{ab} = g_{ab} + n_a n_b$  one concludes that

$$h_{\alpha\beta} = g_{\alpha\beta}.$$

- In these **adapted coordinates** the 3-metrics of the hypersurfaces of the foliation are simply the spatial part of the spacetime metric  $g_{ab}$ .
- Moreover, since the time components of spatial contravariant tensors have to vanish, one also has that  $h^{\mu 0} = 0$ .
- One concludes that one can write

$$g^{\mu\nu} = h^{\mu\nu} - n^\mu n^\nu = \begin{pmatrix} -\alpha^{-2} & \alpha^{-2}\beta^\gamma \\ \alpha^{-2}\beta^\delta & h^{\gamma\delta} - \alpha^{-2}\beta^\gamma\beta^\delta \end{pmatrix}.$$

- This last expression can be inverted to yield

$$g_{\mu\nu} = \begin{pmatrix} -\alpha^2 + \beta_\gamma\beta^\gamma & \beta_\gamma \\ \beta_\gamma & h_{\gamma\delta} \end{pmatrix},$$

where  $\beta_\gamma \equiv h_{\gamma\delta}\beta^\delta$ .

# The 3+1 form of the metric

The line element in adapted coordinates:

- An alternative way of presenting the latter is via the line element

$$g = -\alpha^2 dt^2 + h_{\gamma\delta}(\beta^\gamma dt + dx^\gamma)(\beta^\delta dt + dx^\delta).$$

- The latter is known as the **3 + 1 form** of the spacetime metric.



# The constraint and evolution equations in adapted coordinates

## A summary:

- The constraint and ADM evolution equations can be written in adapted coordinates as

$$r + K^2 - K_{ij}K^{ij} = 0,$$

$$D^j K_{ij} - D_i K = 0,$$

$$\partial_t h_{ij} = -2\alpha K_{ij} + D_i \beta_j + D_j \beta_i,$$

$$\begin{aligned} \partial_t K_{ij} = & -D_i D_j \alpha + \alpha (r_{ij} - 2K_{ik}K^k_j + K K_{ij}) \\ & + \beta^k D_k K_{ij} + K_{ik} D_j \beta^k + K_{kj} D_i \beta^k. \end{aligned}$$

# An example: the Schwarzschild spacetime (I)

## Isotropic coordinates:

- The metric Schwarzschild spacetime can be expressed in standard coordinates in terms of the line element

$$g = - \left( 1 - \frac{2m}{r} \right) dt^2 + \left( 1 - \frac{2m}{r} \right)^{-1} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\varphi^2.$$

- This form of the metric is not the best one for a  $3 + 1$  decomposition of the spacetime.
- Instead, introduce an **isotropic radial coordinate**  $\bar{r}$  via  $r = \bar{r} \left( 1 + \frac{m}{2\bar{r}} \right)^2$ .
- In terms of the later one obtains the line element of the Schwarzschild spacetime in the form

$$g = - \left( \frac{1 - m/2\bar{r}}{1 + m/2\bar{r}} \right)^2 dt^2 + \left( 1 + \frac{m}{2\bar{r}} \right)^4 (\bar{r}^2 d\bar{r}^2 + \bar{r}^2 d\theta^2 + \bar{r}^2 \sin^2 \theta d\varphi^2).$$

# An example: the Schwarzschild spacetime (II)

The gauge functions:

- The normal  $\omega_a = \nabla_a t$  is then readily given by

$$\omega_\mu = (1, 0, 0, 0).$$

- Thus, one readily reads the lapse function to be

$$\alpha = \frac{1 - m/2\bar{r}}{1 + m/2\bar{r}},$$

while the unit normal is

$$n^\mu = \frac{1 + m/2\bar{r}}{1 - m/2\bar{r}}(1, 0, 0, 0).$$

- Also, the shift vanishes:  $\beta^\alpha = 0$ .

# An example: the Schwarzschild spacetime (III)

## The intrinsic metric and the extrinsic curvature:

- The spatial metric is then

$$h = \left(1 + \frac{m}{2\bar{r}}\right)^4 (d\bar{r}^2 + \bar{r}^2 d\theta^2 + \bar{r}^2 \sin^2 \theta d\varphi).$$

- Since  $\beta^i = 0$  and  $h_{ij}$  is independent of time, one can readily find that the extrinsic curvature vanishes

$$K_{ij} = 0.$$

- The isotropic form of the Schwarzschild readily yields a foliation of spacetime that follows the static symmetry of the spacetime.
- In this foliation, the intrinsic 3-metric of the leaves does not seem to evolve.
- Any other foliation not aligned with the static Killing vectors will give rise to a non-trivial evolution for both  $h_{ij}$  and  $K_{ij}$ .

# Outline

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- 2 A closer look at the constraint equations
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# The constraint equations —summary (I)

## The constraint equations:

- The Einstein field equations imply the following constraint equations on a (spatial) hypersurface  $\mathcal{S}$ :

$$r + K^2 - K_{ij}K^{ij} = 0, \quad \text{Hamiltonian constraint}$$

$$D^i K_{ij} - D_j K = 0. \quad \text{Momentum constraint}$$

- These equations constraint the possible choices of pairs  $(h_{ij}, K_{ij})$  corresponding to initial data to the Einstein field equations.
- They are intrinsic equations, that is, they only involve objects which are defined on the hypersurface  $\mathcal{S}$  without any further reference to the “bulk” of the spacetime  $(\mathcal{M}, g_{ab})$ .

# The constraint equations —summary (II)

## PDE properties:

- The Einstein constraint represent a highly coupled, highly non-linear system of equations for  $(h_{ij}, K_{ij})$ .
- The main difficulty in constructing an solution to the equations lies in the fact that the equations constitute an underdetermined system: one has 4 equations for 12 unknowns —the independent components of two symmetric spatial tensors.
- Even exploiting the coordinate freedom to “kill off” three components of the tensors, one is still left with 9 unknowns.
- There should be some freedom in the specification of **data** for the equations.
  - The task is to identify what this free data is!!!

# Simplifying assumptions: time symmetry

## Vanishing of the extrinsic curvature

- In order to render the problem manageable, we make a standard simplifying assumption and consider initial data sets for which  $K_{ij} = 0$  everywhere on  $\mathcal{S}$ .
- This class of initial data are called **time symmetric**.
- The reason for this is that if  $K_{ij} = 0$  at  $\mathcal{S}$  then the evolution equations imply that

$$\partial_t h_{ij} = 0, \quad \text{on} \quad \mathcal{S}.$$

This equation is invariant under the replacement  $t \mapsto -t$ .

- It follows that the resulting spacetime has a reflection symmetry with respect to the hypersurface  $\mathcal{S}$  which can be regarded as a **moment of time symmetry**.



# The time symmetric constraints

## The Hamiltonian constraint:

- If  $K_{ij} = 0$  everywhere on  $\mathcal{S}$  then the momentum constraint is automatically solved, and the Hamiltonian constraint reduces to

$$r = 0.$$

- That is, the initial 3-metric has to be such that its Ricci scalar —notice that this does not mean that the hypersurface is flat!
- The time symmetric Hamiltonian constraint regarded as an equation for  $h_{ij}$  is highly non-linear.
- Moreover, one still has 6 unknowns and equation —even choosing coordinates, one still has 3 unknowns .

# Conformal rescalings and the Yamabe problem

## A strategy:

- Clearly, for an arbitrary metric  $\bar{h}_{ij}$  one has that  $\bar{r} \neq 0$ .
- An idea to solve the constraint is to introduce a factor that compensates this.
- This idea leads naturally to the notion of conformal transformations.

# Conformal rescalings and the Yamabe problem

## A strategy:

- Clearly, for an arbitrary metric  $\bar{h}_{ij}$  one has that  $\bar{r} \neq 0$ .
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## Conformal rescalings:

- Two metrics  $h_{ij}$ ,  $\bar{h}_{ij}$  are said to be **conformally related** if there exists a positive scalar  $\vartheta$  (the **conformal factor**) such that

$$h_{ij} = \vartheta^4 \bar{h}_{ij}.$$

- The metric  $\bar{h}$  will be called the **background** metric.
- Loosely speaking, the conformal factor absorbs the overall scale of the metric.
- At the level presented here, the conformal transformation introduced above is just a mathematical trick to solve equations. At a deeper level, the conformal transformation defines an equivalence class of manifolds and metrics.

# More on conformal transformations

## Transformation laws for derived objects:

- The 3-dimensional Christoffel symbols are given by

$$\Gamma^\alpha{}_{\beta\gamma} = \frac{1}{2}h^{\alpha\delta}(\partial_\beta h_{\gamma\delta} + \partial_\gamma h_{\beta\delta} - \partial_\delta h_{\beta\gamma}).$$

- It follows that

$$\Gamma^\alpha{}_{\beta\gamma} = \bar{\Gamma}^\alpha{}_{\beta\gamma} + 2(\delta_\beta{}^\alpha \partial_\gamma \ln \vartheta + \delta_\gamma{}^\alpha \partial_\beta \ln \vartheta - \bar{h}_{\beta\gamma} \bar{h}^{\alpha\delta} \partial_\delta \ln \vartheta).$$

- A lengthier computation yields the following transformation law for the Ricci tensor:

$$\begin{aligned} r_{ij} = \bar{r}_{ij} &- 2(\bar{D}_i \bar{D}_j \ln \vartheta + \bar{h}_{ij} \bar{h}^{lm} \bar{D}_l \bar{D}_m \ln \vartheta) \\ &+ 4(\bar{D}_i \ln \vartheta \bar{D}_j \ln \vartheta - \bar{h}_{ij} \bar{h}^{lm} \bar{D}_l \ln \vartheta \bar{D}_m \ln \vartheta). \end{aligned}$$

- Furthermore (and more importantly for our purposes) one has that

$$r = \vartheta^{-4} \bar{r} - 8\bar{\theta}^{-5} \bar{D}_k \bar{D}^k \vartheta.$$

In the above expressions  $\bar{D}$  denotes the covariant derivative of the background metric  $\bar{h}_{ij}$ .

# The Yamabe equation (I)

## The Hamiltonian constraint and conformal rescalings:

- Using  $r = 0$  in the transformation law for the Ricci scalar given above, one readily finds that

$$\bar{D}_k \bar{D}^k \vartheta - \frac{1}{8} \bar{r} \vartheta = 0.$$

- This equation is sometimes called the **Yamabe equation** in Differential Geometry.
- Given a fixed background metric  $\bar{h}_{ij}$ , then it can be read as an equation for the conformal factor  $\vartheta$ .
- Given a solution  $\vartheta$ , one has that by construction  $h_{ij} = \vartheta^4 \bar{h}_{ij}$  is such that  $r = 0$  and one has constructed a solution to the time symmetric Einstein constraints.

# The Yamabe equation (II)

## Mathematical properties of the Yamabe equation

- The Yamabe equation is **elliptic**:
  - the operator  $\bar{D}_k \bar{D}^k$  is the **Laplacian operator** associated to the metric  $\bar{h}_{ij}$ ;
  - if  $\bar{h}_{\alpha\beta} = \delta_{\alpha\beta}$  the flat metric in Cartesian coordinates, then

$$\bar{D}_k \bar{D}^k = \delta^{\alpha\beta} \partial_\alpha \partial_\beta = \partial_x^2 + \partial_y^2 + \partial_z^2.$$

- Given a linear second order elliptic equation appropriate **boundary conditions** ensure the existence of a unique solution on  $\mathcal{S}$ .

# A further simplifying assumption: conformal flatness

## What is conformal flatness?

- Choose the flat metric as background metric. That is, let

$$\bar{h}_{\alpha\beta} = \delta_{\alpha\beta}.$$

- In this case, the metric  $h_{\alpha\beta} = \vartheta^4 \delta_{\alpha\beta}$  is said to be **conformally flat**.
- Conformal flatness is an interesting property that Riemannian manifolds can possess. An important result is that conformal flatness is characterised locally by the vanishing of the **Cotton-York** tensor

$$b_{ijk} \equiv D_{[j} r_{k]i} - \frac{1}{4} h_{i[j} D_{k]} r.$$

- For example, any spherically symmetric metric can be shown to be conformally flat.
- Conformal flatness simplifies the calculations that need to be carried out.
- One has that  $\bar{r} = 0$  so that the Yamabe equation reduces to the **flat Laplace equation**

$$\bar{D}_k \bar{D}^k \vartheta = 0.$$

# Boundary conditions

## Describing isolated systems:

- In the discussion of isolated systems (i.e. astrophysical sources) one is interested in solutions which are **asymptotically flat**. That is,

$$\vartheta = 1 + O(r^{-1}), \quad \text{for } r \rightarrow \infty,$$

where  $r^2 = x^2 + y^2 + z^2$  is the standard radial coordinate.

- Solutions to the Laplace equation with the above asymptotic behaviour are well known. In particular, a **spherically symmetric** solution is given by

$$\vartheta = 1 + \frac{m}{2r},$$

where  $m$  is a constant.



# Data for the Schwarzschild spacetime (I)

## Interpreting the solution:

- Given

$$\vartheta = 1 + \frac{m}{2r},$$

the associated solution to the Hamiltonian constraint is the 3-metric of the Schwarzschild spacetime in isotropic coordinates:

$$h = \left(1 + \frac{m}{2r}\right)^4 (dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\varphi^2).$$

- The above 3-metric is singular at  $r = 0$ . This singularity, is a coordinate singularity. By considering the coordinate inversion

$$r = \frac{m^2}{4} \frac{1}{\bar{r}},$$

the metric transforms into

$$h = \left(1 + \frac{m}{2\bar{r}}\right)^4 (d\bar{r}^2 + \bar{r}^2 d\theta^2 + \bar{r}^2 \sin^2 \theta d\varphi^2).$$

- The inversion transforms the metric into itself—that is, it is a discrete isometry. In particular, one has that the point  $r = 0$  is can be mapped to infinity. Thus, the metric is perfectly regular everywhere and  $r = 0$  is, in fact, the infinity of an asymptotically flat region.

# Data for the Schwarzschild spacetime (II)

## The Einstein-Rosen bridge:

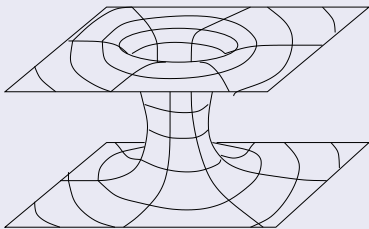
- The hypersurface  $\mathcal{S}$  has a non-trivial topology —it corresponds to a *wormhole*.
- The radius given by  $r = m/2$  corresponds to the minimum areal radius —this is called the *throat* of the black hole.
- The throat corresponds to the intersection of the black hole horizon with the hypersurface  $\mathcal{S}$ . The inversion reflects points with respect to the throat.

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## Embedding diagram of the Schwarzschild data:



# Multiple black hole data (I)

## Brill-Lindquist initial data:

- The construction described in the previous paragraphs can be extended to include an arbitrary number of black holes.
- This is made possible by the linearity of the flat Laplace equation.
- Indeed, the conformal factor

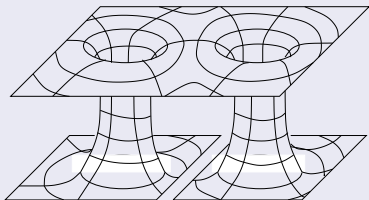
$$\vartheta = 1 + \frac{m_1}{2r_1} + \frac{m_2}{2r_2}, \quad r_1 = |x^i - x_1^i|, \quad r_2 = |x^i - x_2^i|,$$

where  $x_1^i$  and  $x_2^i$  denote the (fixed) location of two black holes with **bare masses**  $m_1$  and  $m_2$ .

- The solution is called the **Brill-Lindquist solution**.
- It describes a pair of black holes instantaneously at rest at a moment of time symmetry. This solution is much used as initial data to simulate the head-on collision of two black holes.
- One finds is that each throath connects to is own asymptotically flat region. The drawing of the corresponding 3-dimensional manifold gives 3 different sheets, each corresponding to a different asymptotically flat region.

# Multiple black hole data (II)

Embedding diagram of the Brill-Lindquist data:



# Multiple black hole data (III)

## The Misner initial data:

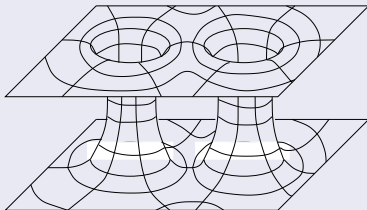
- The flat Laplace equation can also be solved using the so-called **method of images** to obtain a solution with two holes and two asymptotic regions.
- This solution is known as **Misner data**.
- This solution has a reflection symmetry through the throats, and has only two (as opposed to three of the Brill-Lindquist solution) asymptotically flat regions.
- The solution can be also interpreted as a worm hole data by making suitable topological identifications.

# Multiple black hole data (III)

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## Embedding diagram of the Brill-Lindquist data:



# Concluding remarks concerning the constraint equations

## Remarks:

- More complicated solutions to the constraint equations can be obtained by including a non-vanishing extrinsic curvature.
- In this way one can provide data for a rotating black hole or even a pair of rotating black holes spiralling around each other.
- The constraint equations in these cases have to be solved numerically.



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# Time independent solutions

## Motivation:

- A systematic analysis of solutions to the vacuum Einstein field equations must start by considering time independent solutions.
- These solutions are interpreted as describing the gravitational field in the exterior of **isolated bodies at rest** or in **uniform rotation** in an otherwise empty Universe.
- The simplest case of a time independent solution is given by the Minkowski metric.
- More sophisticated examples are given by the **Schwarzschild** and **Kerr spacetimes**.
  - The relevance of these two solutions is that they are thought to describe, in a suitable sense, the **end state of black hole evolution**.

# A toy model: the wave equation (I)

## Time independent solutions to the wave equation

- Consider a scalar field on Minkowski spacetime satisfying the wave equation

$$(\Delta - \partial_t^2)\phi = 0,$$

where  $\Delta$  denote the flat Laplacian.

- For time independent solutions —i.e.  $\partial_t\phi = 0$ — it follows that

$$\Delta\phi = 0.$$

- An equation which is originally **hyperbolic** becomes **elliptic** under the assumption of time independence.
  - This is a generic feature that can be observed in other theories —like the Maxwell equations and the Einstein field equations.

# A toy model: the wave equation (II)

## Boundary conditions:

- The energy of the scalar field at some time  $t$  is given by

$$E(t) = \int_{\mathcal{S}_t} ((\partial_t \phi)^2 + |\nabla \phi|^2) d^3x.$$

- In order to have finiteness of the energy one needs the boundary conditions

$$\phi(t, x^i), \partial_t \phi(t, x^i) \rightarrow 0, \quad \text{as} \quad |x| \rightarrow \infty.$$

# A toy model: the wave equation (II)

## Ellipticity properties:

- An important difference between hyperbolic equations and elliptic ones is that while in the former, properties of solutions can be localised and have finite propagation speed, **for the latter the properties of solutions are global.**
- For example, if  $\phi = O(1/r)$  as  $r \rightarrow \infty$  and  $\Delta\phi = 0$ , then it follows that  $\phi = 0$ .
- This follows from

$$0 = \int_{\mathbb{R}^3} \phi \Delta\phi dx^3 = \int_{\mathbb{R}^3} |\nabla\phi|^2 dx^3,$$

where Green's identity has been used. It follows that  $|\Delta\phi|^2 = 0$  everywhere on  $\mathbb{R}^3$  so that  $\phi$  is constant.

- Due to the decay conditions, it must necessarily vanish.
- This type of argument will be used repeatedly for the Einstein equations.
- In order to avoid the vanishing of  $\phi$  in this case, one needs to consider the inhomogeneous problem —that is, one needs to consider sources.

# Stationarity and static solutions in GR (I)

## Intuition:

- Mathematically speaking, time independence is imposed by requiring on the spacetime  $(\mathcal{M}, g_{ab})$  the existence of timelike Killing vector  $\xi^a$  —the spacetime is then said to be **stationary**.
- If, in addition, the Killing vector is hypersurface orthogonal —i.e. it is the gradient of some scalar function— then one says that  $\xi^\mu$  is **static**.
- The Schwarzschild and Kerr solutions are, respectively, static and stationary.
- **Stationary solutions** to the Einstein field equations allow for the possibility of **rotating gravitational fields**.

# Stationarity and static solutions in GR (II)

## Frobenius theorem:

- Let  $n_a$  denote the unit normal of an hypersurface  $\mathcal{S}$ .
- If  $\xi^a n_a$ , i.e. the Killing vector if orthogonal to  $\mathcal{S}$ , then a calculation readily shows that

$$\xi_{[a} \nabla_b \xi_{c]} = 0.$$

- The latter condition characterises **hyperurface orthogonality** —that is, a Killing vector is hypersurface orthogonal if and only if the previous equation holds

# The static vacuum equations (I)

The metric of a static spacetime:

- In a stationary spacetime, it is natural to choose adapted coordinates such that  $\xi^\mu \partial_\mu = \partial_t$  —that is, the time coordinate is adapted to the flow lines of the Killing vector.
- Using the Killing vector condition  $\mathcal{L}_\xi g_{ab} = 0$  and the definitions of  $h_{ij}$  and  $K_{ij}$  one can show that

$$\partial_t h_{ij} = \partial_t K_{ij} = 0.$$

- If the Killing vector is hypersurface orthogonal then it follows that the Killing vector has to be proportional to the normal to the hypersurface  $\mathcal{S}$ :

$$\xi_\mu = \alpha \nabla_\mu t$$

- However, the Killing vector can be decomposed in a lapse and a shift part:

$$\xi^a = N n^a + \beta^a.$$

- Comparing both expressions one necessarily has that  $\beta^\alpha = 0$ .
- Thus, one has that

$$g = -\alpha^2 dt^2 + h_{\alpha\beta} dx^\alpha dx^\beta,$$

with  $h_{\alpha\beta}$  time independent.



# The static vacuum equations (II)

## Vanishing of the extrinsic curvature:

- The time evolution equation for  $h_{ij}$  then takes the form

$$\partial_t h_{ij} = -2\alpha K_{ij} = 0.$$

- As the lapse cannot vanish one has that

$$K_{ij} = 0.$$

That is, the hypersurfaces of the foliation adapted to the static Killing vector have no extrinsic curvature —this property is preserved as  $\partial_t K_{ij} = 0$ .

# The static vacuum equations (III)

## The equations:

- Vacuum static solutions are characterised solely in terms of the lapse  $\alpha$  and the 3-metric  $h_{ij}$ .
- In order to obtain equations for these quantities one considers the Hamiltonian constraint and the evolution equation for  $K_{ij}$ .
- Setting  $K_{ij} = \partial_t K_{ij} = 0$  readily yields

$$\begin{aligned}D_i D_j \alpha &= r_{ij}, \\ r &= 0,\end{aligned}$$

where, as before,  $r$  denotes the Ricci tensor of the 3-metric  $h_{ij}$ . These equations are known as the **static vacuum Einstein equations**.

# Properties of the static equations: Licnerowicz theorem (I)

## Assumptions and boundary conditions:

- As a first example of the content and implications of the static equations let  $\mathcal{S} \approx \mathbb{R}^3$ 
  - i.e. the hypersurface has the topology of Euclidean space.
- Suppose that the fields  $\alpha$  and  $h_{\alpha\beta}$  decay at infinity in such a way that

$$\alpha \rightarrow 1, \quad h_{\alpha\beta} - \delta_{\alpha\beta} \rightarrow 0, \quad \text{as } r \rightarrow \infty.$$

- The first condition essentially means that it is assumed that the Killing vector behaves asymptotically like the static Killing vector of Minkowski spacetime.
- The second condition means that the 3-metric is assumed to be asymptotically flat (Euclidean) at infinity.

# Properties of the static equations: Licnerowicz theorem (II)

## Ellipticity and the static equations:

- Taking traces of the first static equation and using the second equation it follows that

$$\Delta\alpha = D_k D^k \alpha = 0.$$

- Now, consider

$$0 = \int_S \alpha \Delta\alpha d^3x = \int_S |D\alpha|^2 d^3x,$$

again, as a consequence of Green's identity.

- Thus

$$|D\alpha|^2 = h^{ij} D_i \alpha D_j \alpha = 0,$$

from where it follows that  $\alpha$  is a constant.

- Using the asymptotic condition  $\alpha \rightarrow 1$  it follows  $\alpha = 1$  everywhere.

# Properties of the static equations: Licnerowicz theorem (III)

## Flatness of the 3-metric:

- Using the first static equation one concludes that

$$r_{ij} = 0.$$

- In 3-dimensions the Ricci tensor determines fully the curvature of the manifold. Thus

$$r_{ijkl} = 0.$$

That is,  $h_{\alpha\beta} = \delta_{\alpha\beta}$  —the Euclidean flat metric.

- The solution we have obtained then is

$$g = -dt^2 + \delta_{\alpha\beta} dx^\alpha dx^\beta.$$

This solution is the Minkowski spacetime! This result is known as **Licnerowicz's theorem**.

# Properties of the static equations: Licnerowicz theorem (IV)

## Theorem

*The only globally regular static solution to the Einstein equations with  $\mathcal{S}$  having trivial topology (i.e.  $\mathcal{S} \approx \mathbb{R}^3$ ) and such that*

$$\alpha \rightarrow 1, \quad h_{\alpha\beta} - \delta_{\alpha\beta} \rightarrow 0, \quad \text{as } r \rightarrow \infty$$

*is the Minkowski spacetime.*

# Properties of the static equations: Licnerowicz theorem (IV)

## Theorem

The only globally regular static solution to the Einstein equations with  $\mathcal{S}$  having trivial topology (i.e.  $\mathcal{S} \approx \mathbb{R}^3$ ) and such that

$$\alpha \rightarrow 1, \quad h_{\alpha\beta} - \delta_{\alpha\beta} \rightarrow 0, \quad \text{as } r \rightarrow \infty$$

is the Minkowski spacetime.

## Morally:

- The above theorem demonstrates the rigidity of the Einstein field equations.
- In order to obtain more interesting regular solutions, one requires either some matter sources or a non-trivial topology for  $\mathcal{S}$  as in the case of the Schwarzschild spacetime —**recall the Einstein-Rosen bridge!**
- The result can be interpreted as a first, very basic **uniqueness black hole result**.
- If one wants to have a black hole solution one needs non-trivial topology!

# Further properties of static solutions: leading asymptotic behaviour

## Question:

- An important question when analysing static spacetimes is to analyse their asymptotic behaviour beyond the prescribed boundary conditions.
- Can one say more?



# Further properties of static solutions: leading asymptotic behaviour

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## Theorem (Beig, 1980)

Every static vacuum solution to the Einstein equations satisfying

$$\alpha \rightarrow 1, \quad h_{\alpha\beta} - \delta_{\alpha\beta} \rightarrow 0, \quad \text{as } r \rightarrow \infty$$

is Schwarzschildian to leading order in  $1/r$ . That is,

$$\alpha^2 = 1 - \frac{2m}{r} + O(1/r^2), \quad h_{\alpha\beta} - \delta_{\alpha\beta} = \frac{2m}{r} \delta_{\alpha\beta} + O(1/r^2).$$

## Remarks:

- Notice that in the previous result the regularity of  $\mathcal{S}$  is not required. Also, there could be bounded sources somewhere in the interior.

# Further properties of static solutions: multipole moments

## Defining multipole moments:

- The lapse  $\alpha$  can be interpreted as relativistic generalisation of a **Newtonian potential**.
- The previous theorem on the leading behaviour of static solutions theorem can be improved to include **higher order multipoles**.
- These lead to a *multipolar expansion* of the gravitational field.
- These multipoles characterise in a unique manner static solutions.

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## Theorem (Beig & Simon, 1981; Friedrich 2006)

*Given an asymptotically flat static solution to the Einstein vacuum equations, one obtains a unique sequence of multipole moments. Conversely, given a sequence of multipole moments, if the lapse constructed from this sequence exists, there exists a unique static spacetime associated to these multipoles.*